

To: Mak, Magdalen[Mak.Magdalen@epa.gov]
Cc: Chan, Renee[Chan.Renee@EPA.GOV]; Ortesi, Marie[Ortesi.Marie@epa.gov]; Swann, Veronica[Swann.Veronica@epa.gov]
From: kfrazier@wrpt.us
Sent: Wed 2/15/2017 12:12:06 AM
Subject: RE: Walker River Audit (Report No. 15-2-0165) - Corrective Action removed.txt

3/31/17 for the Personnel Policies and Procedures. 6/30/17 for the rest. here are 6 total manuals that are to be updated.

Kathleen Frazier

Finance Director

Walker River Paiute Tribe

1022 Hospital Road

P.O. Box 220

Schurz, NV 89427

T: 775-773-2306 X304

F: 775-773-2045

kfrazier@wrpt.us



From: Mak, Magdalen [mailto:Mak.Magdalen@epa.gov]
Sent: Tuesday, February 14, 2017 3:47 PM
To: kfrazier@wrpt.us
Cc: Chan, Renee <Chan.Renee@EPA.GOV>; Ortesi, Marie <Ortesi.Marie@epa.gov>; Swann, Veronica <Swann.Veronica@epa.gov>
Subject: RE: Walker River Audit (Report No. 15-2-0165) - Corrective Action

Hi Kathy,

Please provide a completion date for the policies and procedures because we have to notify the OIG if it goes beyond the target date of 12/31/16 as indicated in your email dated 10/21/16.

Thank you for your attention,

Magdalen Mak

Audit Follow-up Coordinator, R9

US EPA, Region 9, EMD-4-1

75 Hawthorne Street,

San Francisco, CA 94105

mak.magdalen@epa.gov

ph: 415-972-3773

fax: 415-947-3556

From: kfrazier@wrpt.us [<mailto:kfrazier@wrpt.us>]

Sent: Tuesday, February 14, 2017 2:44 PM

To: Chan, Renee <Chan.Renee@EPA.GOV>; Ortesi, Marie <Ortesi.Marie@epa.gov>

Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Swann, Veronica <Swann.Veronica@epa.gov>

Subject: RE: Walker River Audit (Report No. 15-2-0165) - Corrective Action

Hi there – Sorry for the delay. We (the finance office) are in the process of moving our office and I am temporarily at another desk (Extension 322). We are still in the process of updating the policies and procedures manuals. I just received word from the consultant yesterday that he is still working on them. I cannot give you a completion date but will keep you updated on the status.

Kathleen Frazier

Finance Director

Walker River Paiute Tribe

1022 Hospital Road

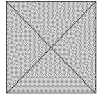
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kfrazier@wrpt.us



From: Chan, Renee [<mailto:Chan.Renee@EPA.GOV>]

Sent: Tuesday, February 14, 2017 1:48 PM

To: Ortesi, Marie <Ortesi.Marie@epa.gov>

Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Swann, Veronica
<Swann.Veronica@epa.gov>; 'kfrazier@wrpt.us' <kfrazier@wrpt.us>

Subject: RE: Walker River Audit (Report No. 15-2-0165) - Corrective Action

Hi Marie –

I called Kathy Frazier, but no answer. I left her a vm to either respond to email or call you or me.

Thanks,

Renee Chan

R9-Grants Management Office, EMD-6-1

75 Hawthorne Street

San Francisco, CA 94105

ph: 415-972-3675

Chan.Renee@epa.gov

From: Chan, Renee

Sent: Tuesday, February 14, 2017 11:47 AM

To: Ortesi, Marie <Ortesi.Marie@epa.gov>

Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Swann, Veronica
<Swann.Veronica@epa.gov>

Subject: RE: Walker River Audit (Report No. 15-2-0165) - Corrective Action

I haven't heard from Kathy – usually, she is very responsive – maybe she was out.

Do you want to call her or I can try this after lunch? Let me know.

Kathleen Frazier

Finance Director

Walker River Paiute Tribe

Schurz, NV 89427

T: 775-773-2306 X304

Renee Chan

R9-Grants Management Office, EMD-6-1

75 Hawthorne Street

San Francisco, CA 94105

ph: 415-972-3675

Chan.Renee@epa.gov

From: Ortesi, Marie
Sent: Tuesday, February 14, 2017 11:08 AM
To: Chan, Renee <Chan.Renee@EPA.GOV>
Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Swann, Veronica <Swann.Veronica@epa.gov>
Subject: RE: Walker River Audit (Report No. 15-2-0165) - Corrective Action

Renee,

Have we heard anything back from the tribe. If not, perhaps the program and follow up to see if they have more success in getting a response. We need to update the SMT report today.

Thanks.

From: Chan, Renee
Sent: Friday, February 03, 2017 5:16 PM
To: 'kfrazier@wrpt.us' <kfrazier@wrpt.us>
Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Amber Torres <freebella123@yahoo.com>; Ortesi, Marie <Ortesi.Marie@epa.gov>
Subject: Walker River Audit (Report No. 15-2-0165) - Corrective Action

Hi Kathy –

Just following up on the status of the Corrective Action.

- If completed, please provide the implementation date.

- If not completed, please provide a revised due date.

Thanks,

Renee Chan

R9-Grants Management Office, EMD-6-1

75 Hawthorne Street

San Francisco, CA 94105

ph: 415-972-3675

Chan.Renee@epa.gov

From: kfrazier@wrpt.us [<mailto:kfrazier@wrpt.us>]

Sent: Friday, October 21, 2016 2:45 PM

To: Chan, Renee <Chan.Renee@EPA.GOV>

Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Amber Torres <freebella123@yahoo.com>

Subject: RE: Please response by mid-Nov or sooner: Walker River Audit (Report No. 15-2-0165)

Renee – WRPT has collected proposals for updating all policies and procedures by 12/31/16. Additionally the finance department is requiring strict adherence of all departments to follow the procurement, travel and personnel policies/procedures consistently to ensure compliance with federal regulations. In early 2017 I hope to bring in a trainer for all directors on the new OMB Super Circular.

I will update you on our progress.

Kathleen Frazier

Finance Director

Walker River Paiute Tribe

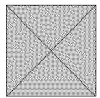
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kfrazier@wrpt.us



From: Chan, Renee [<mailto:Chan.Renee@EPA.GOV>]

Sent: Friday, October 21, 2016 1:41 PM

To: kfrazier@wrpt.us

Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>

Subject: Please response by mid-Nov or sooner: Walker River Audit (Report No. 15-2-0165)

Hi Kathy –

I am just checking on the status of the corrective action (see email below from Magdalen – works in Marie's office).

If you have any questions, please let us know. Thanks,

Renee Chan

R9-Grants Management Office, EMD-6-1

75 Hawthorne Street

San Francisco, CA 94105

ph: 415-972-3675

fax: 415-947-3556

From: Mak, Magdalen
Sent: Wednesday, October 19, 2016 10:40 AM
To: Chan, Renee <Chan.Renee@EPA.GOV>
Cc: Ortesi, Marie <Ortesi.Marie@epa.gov>
Subject: Walker River Audit (Report No. 15-2-0165)

Hi Renee,

Can you check with the tribe if the corrective actions for recommendation 5 of the following audit has been completed? Please let me know the status and provide the supporting document if completed.

Audit Title/Report No.	Rpt Date	Recommendation
	Target date	
Walker River Paiute Tribe Needs to Improve Its Internal Controls to Comply With Federal Regulations (15-2-0165)	06/11/15 Region 9	5. Require the Walker River Paiute Tribe to establish internal controls to ensure compliance with federal regulations and tribal polices. 12/31/16

Thanks,

Magdalen

From: Kathleen Frazier [<mailto:frazier.kath606@yahoo.com>]
Sent: Wednesday, March 23, 2016 10:01 AM
To: Ortesi, Marie <Ortesi.Marie@epa.gov>
Cc: Mak, Magdalen <Mak.Magdalen@epa.gov>; Chan, Renee <Chan.Renee@EPA.GOV>
Subject: Re: Corrective Action for Audit - Walker River

We are still working on this but, no, this has not been completed to date. Our consultant has informed us that the deadline has been extended to December 31, 2016 for tribes to be in compliance with the new OMB Supercircular. I will keep you updated on our progress.

Kathleen Frazier

Finance Director

Walker River Paiute Tribe

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kfrazier@wrpt.us



On Wednesday, March 23, 2016 6:24 AM, "Ortesi, Marie" <Ortesi.Marie@epa.gov> wrote:

Kathi,

Under Recommendation 5, the tribe submitted a corrective action with target completion

date of March 2016 for instituting and updating all policies and procedures to be in compliance with 2 CFR Part 200. Please let us know if this has been completed and if you can forward us the procedures.

We will need this in order to close out the audit.

Thank you.

Marie Ortesi

U.S. EPA, Region IX

75 Hawthorne Street (EMD 4-1)

San Francisco, CA 94105

(415) 972-3710

Ortesi.marie@epa.gov